



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Edward J. DALGEWICZ, III

Application No. 09/722,594

Filed: November 28, 2000

For: CONTINUOUS PROCESS AND  
APPARATUS FOR MAKING  
THERMOFORMED ARTICLES

Group Art Unit: 1722

Examiner: Lee, E.

Atty. Docket No. 04756.00005

#5  
P.L.H.  
3-18-02

**NOTIFICATION OF LOSS OF ENTITLEMENT TO  
SMALL ENTITY STATUS PURSUANT TO 37 C.F.R. § 1.27(g)(2)**

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

Pursuant to 37 C.F.R. § 1.27(g)(2), the U.S. Patent and Trademark Office is hereby advised that small entity status is no longer claimed in the above-captioned application. A Preliminary Amendment including the fees for additional claims in the appropriate amount for a non-small entity accompanies this submission.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: March 7, 2002

By: Paul M. Rivard  
Paul M. Rivard  
Registration No. 43,446

1001 G Street, NW  
Eleventh Floor  
Washington, DC 20001-4597  
(202) 508-9100

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MAR 13 2002  
TC 1700

A22 \$

OIP FEE TRANSMITTAL  
for FY 2002

MAR 07 2002

PATENT &amp; TRADEMARK OFFICE

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 246

## Complete if Known

|                      |                          |
|----------------------|--------------------------|
| Application Number   | 09/722,594               |
| Filing Date          | November 28, 2000        |
| First Named Inventor | Edward J. Dalgewicz, III |
| Examiner Name        | E. Lee                   |
| Group / Art Unit     | 1722                     |
| Attorney Docket No.  | 004756.00005             |

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TC 17700

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit  
Account  
Number

19-0733

Deposit  
Account  
Name

Banner &amp; Witcoff, Ltd.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101                  | 740                   | 201                  | 370                   | Utility filing fee     |          |
| 106                  | 330                   | 206                  | 165                   | Design filing fee      |          |
| 107                  | 510                   | 207                  | 255                   | Plant filing fee       |          |
| 108                  | 740                   | 208                  | 370                   | Reissue filing fee     |          |
| 114                  | 160                   | 214                  | 80                    | Provisional filing fee |          |

SUBTOTAL (1)

(\$ 0

## 2. EXTRA CLAIM FEES

| Total Claims          | Extra<br>Claims | Fee from<br>below | Fee<br>Paid |
|-----------------------|-----------------|-------------------|-------------|
| 45                    | -36 ** = 9      | 18                | 162         |
| Independent<br>Claims | 8               | -7 ** = 1         | 84          |
| Multiple<br>Dependent |                 |                   | 0           |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                     |
| 102                  | 84                    | 202                  | 42                    | Independent claims in excess of 3                          |
| 104                  | 280                   | 204                  | 140                   | Multiple dependent claim, if not paid                      |
| 109                  | 84                    | 209                  | 42                    | ** Reissue independent claims over original patent         |
| 110                  | 18                    | 210                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ 246

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee<br>Paid |
|-------------|--------------------------------|-------------|--------------------------------|--|-------------|
| 105         | 130                            | 205         | 65                             | Surcharge - late filing fee or oath  |             |
| 127         | 50                             | 227         | 25                             | Surcharge - late provisional filing fee or cover sheet.                    |             |
| 139         | 130                            | 139         | 130                            | Non-English specification  |             |
| 147         | 2,520                          | 147         | 2,520                          | For filing a request for reexamination                                     |             |
| 112         | 920*                           | 112         | 920*                           | Requesting publication of SIR prior to Examiner action                     |             |
| 113         | 1,840*                         | 113         | 1,840*                         | Requesting publication of SIR after Examiner action                        |             |
| 115         | 110                            | 215         | 55                             | Extension for reply within first month                                     |             |
| 116         | 400                            | 216         | 200                            | Extension for reply within second month                                    |             |
| 117         | 920                            | 217         | 460                            | Extension for reply within third month                                     |             |
| 118         | 1,440                          | 218         | 720                            | Extension for reply within fourth month                                    |             |
| 128         | 1,960                          | 228         | 980                            | Extension for reply within fifth month                                     |             |
| 119         | 320                            | 219         | 160                            | Notice of Appeal   |             |
| 120         | 320                            | 220         | 160                            | Filing a brief in support of an appeal                                     |             |
| 121         | 280                            | 221         | 140                            | Request for oral hearing   |             |
| 138         | 1,510                          | 138         | 1,510                          | Petition to institute a public use proceeding                              |             |
| 140         | 110                            | 240         | 55                             | Petition to revive - unavoidable   |             |
| 141         | 1,280                          | 241         | 640                            | Petition to revive - unintentional   |             |
| 142         | 1,280                          | 242         | 640                            | Utility issue fee (or reissue)   |             |
| 143         | 460                            | 243         | 230                            | Design issue fee   |             |
| 144         | 620                            | 244         | 310                            | Plant issue fee  |             |
| 122         | 130                            | 122         | 130                            | Petitions to the Commissioner  |             |
| 123         | 50                             | 123         | 50                             | Processing fee under 37 CFR 1.17 (q)                                       |             |
| 126         | 180                            | 126         | 180                            | Submission of Information Disclosure Stmt                                  |             |
| 581         | 40                             | 581         | 40                             | Recording each patent assignment per property (times number of properties) |             |
| 146         | 740                            | 246         | 370                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |             |
| 149         | 740                            | 249         | 370                            | For each additional invention to be examined (37 CFR § 1.129(b))           |             |
| 179         | 740                            | 279         | 370                            | Request for Continued Examination (RCE)                                    |             |
| 169         | 900                            | 169         | 900                            | Request for expedited examination of a design application                  |             |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)

Paul M. Rivard

Registration No. Attorney/Agent)

43,446

Telephone

(202) 508-9100

Signature

Paul M. Rivard

Date

3/7/02

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.